

UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS,
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/435,471	11/08/99	COOPER	114205.1200

09/435,471 11/08/99 COOPER

114205.1200

0242/1209

PEPPER HAMILTON LLP
GILBERTO M VILLACORTA PH D
600 FOURTEENTH STREET N W
WASHINGTON DC 20005-3004

NOT ASSIGNED

Resp to Missing Parts Due 2/9/00
1643 ca 1/9/00

DATE MAILED:

12/09/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 1058⁰⁰

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 760⁰⁰ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 90⁰⁰ for 5 total claims over 20.
\$ 78⁰⁰ for 1 independent claims over 3.
\$ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

Dorothy Bell
Customer Service Center
Initial Patent Examination Division (703) 308-1202

03/24/2000 KZEMIE 00000115 300436 09435471

01 FC:201	388.00 CH
02 FC:202	39.00 CH
03 FC:203	45.00 CH
04 FC:205	65.00 CH

Docket No.: 114205.1200



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S. CHEN
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

COOPER et al.

Serial No. 09/438,471

Filed: November 8, 1999

APPLICATIONS BRANCH

For: INTRODUCTION OF A GLUCOSE REGULATED INSTABILITY ELEMENT VIA
ALTERNATIVE EXON INCLUSION OF PKCBII mRNA IN VASCULAR SMOOTH
MUSCULAR CELLS

RESPONSE TO NOTICE
OF MISSING PARTS OF APPLICATION

Honorable Commissioner of
Patents and Trademarks
Washington D.C. 20231

Sir:

In response to the Notice of Missing Parts of Application dated December 9, 1999,
submitted herewith are the following documents for filing in the above-referenced application:

1. Declaration and Power of Attorney (which is in compliance with 37 CFR 1.63)
2. Statutory Basic filing fee of \$380.00
3. Late Filing Fee Surcharge of \$65.00
4. Extra Claim Fee of \$84.00
5. Verified Statement Claiming Small Entity Status
6. Assignment and Fee of \$40.00
7. Petition for Extension of Time for One Month and Fee of \$190.00

Please charge Deposit Account No. 50-0436 in the amount of \$759.00. To the
extent necessary, Applicants petition for an extension of time under 37 C.F.R. 1.136. Please
charge any shortage in fees due in connection with the filing of this paper, including extension of
time fees, to Deposit Account 50-0436 and please credit any excess fees to such deposit account.

[X] The Commissioner is hereby authorized to charge payment of the following fees

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associated with this communication or credit any overpayment to Deposit Account No. 50-0436. A duplicate copy is enclosed.

☒ Any additional filing fees required under 37 CFR 1.16.


☒ The Commissioner is hereby authorized to charge payment of following fees during the pendency of this application or credit any overpayment to Deposit Account No. 50-0436. A duplicate copy of this sheet is enclosed.

☒ Any patent application processing fees under 37 CFR 1.17.

☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

PEPPER HAMILTON LLP


Gilberto M. Villacorta, Ph.D.
Registration No. 34,038

600 Fourteenth Street, N.W.
Washington, D.C. 20005-2004
(202) 220-1200 GMV:cgm
Date: 03-23-00
Facsimile: 202-220-1665
DC: #143233 v1 (32SP011.WPD)



Docket No.: 114205.1200

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

COOPER et al.

Serial No. 09/435,471

Filed: November 8, 1999

For: INTRODUCTION OF A GLUCOSE REGULATED INSTABILITY ELEMENT
VIA ALTERNATIVE EXON INCLUSION OF PKCBII mRNA IN VASCULAR SMOOTH
MUSCULAR CELLS

**TRANSMITTAL OF VERIFIED STATEMENT
CLAIMING SMALL ENTITY STATUS**

Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Sir:


Transmitted herewith for filing in the above-referenced application is the
following:

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS-

NONPROFIT ORGANIZATION

Respectfully submitted,

Pepper Hamilton LLP


Gilberto M. Villacorta, Ph.D.
Registration No. 34,038

600 Fourteenth Street, N.W.
Washington, D.C. 20005-2004
(202) 220-1200 GMV:cgm
Date: 03.23.00
DC: #143236 v1 (32\$S01!.WPD)

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